

PRIVACY POLICY

Keeping customer information secure is a top priority for all of us at BCP Securities, LLC. We are providing this privacy notice to our customers and we hope this helps you understand how we handle the information about you and/or your company. The provisions of this notice will apply to former clients as well as our current clients unless stated otherwise.

In opening and servicing customer accounts, BCP Securities, LLC needs to collect certain kinds of personal information -- identifying information (such as your name, address, telephone number, and Social Security number), application information (such as information about your assets, income, investment goals, and risk preferences), and transaction information (such as account activity information). BCP Securities, LLC will use personal information in ways that are in accord with the purposes for which we originally requested it. For example, we will use the information you give us to process your requests and transactions, to provide you with additional information about products and services, or to evaluate your financial needs. We may share this personal information with a BCP Securities, LLC affiliate, or BCP Securities, LLC clearing firm. We limit the collection and use of personal information to what is necessary to administer our business and to deliver superior service to you.

BCP Securities, LLC will not share personal information with others except as stated in this policy, unless we give you additional notice or ask for your permission. BCP Securities, LLC reserves the right to disclose or report the personal information in certain circumstances: (1) BCP Securities, LLC clearing firm, as deems necessary or appropriate, to handle, process and clear transactions in accounts; (2) where we believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities. BCP Securities, LLC does not sell its customers' or potential customers' personal information.

BCP Securities, LLC will protect the confidentiality of any personal information you share with us. BCP Securities, LLC corporate policies require that employees with access to confidential customer information may not use or disclose the information except for business use. All employees are required to safeguard such information.

BCP Securities, LLC strives to improve our efforts to protect personal information and makes every effort to keep your personal information accurate and up to date. If you identify any error in your personal information or need to make a change to that information, please contact us and we will promptly update our records. If you have any questions or concerns, please contact our Compliance Department, at the address below.

BCP Securities, LLC – Compliance Dept.

289 Greenwich Ave., 4th floor Greenwich, CT 06830

Telephone: (203) 983-7286